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# A Web-based distributed and collaborative 3D animation environment

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## SUMMARY

Many applications on the Web require active processing and co-ordination of services. In this paper we describe the design of a distributed 3D animation system built by integrating the Java language, parallel virtual machine (PVM) software, a collaborative mechanism, 3D computer graphics and the Web technologies. To achieve collaborative co-operation and functional independence, session control and system agents are devised in this system. In particular, we propose a simplified collaborative group definition, collaborative policies, and the state of participants to dynamically manage participants in this Web-based distributed environment. Based on our proposed mechanism, the server can efficiently determine the status of collaboration activities. ©1997 John Wiley & Sons, Ltd.

*Concurrency: Pract. Exper.*, Vol. 9(11), 1261–1268 (1997)

No. of Figures: 4. No. of Tables: 2. No. of References: 7.

## INTRODUCTION

In addition to using Java applets for visualization and information exchange, Java[1] gains popularity as a language for parallel computing on the Web. Although it is possible to use Java alone as a language for the parallel computing of the scientific application[2], it is worthwhile to incorporate Java applets with the existing distributed computing environments, such as PVM[3], MPI, etc. The marriage of the Java language and the existing computing environment provides a quick and reliable Web-based distributed computing environment.

3D animation has many important applications such as in the film industry and scientific visualization. However, it suffers from the lack of a collaborative environment and needs large computation power. With collaboration capability, it can help people work together and perform common tasks in a shared environment. A collaborative environment must satisfy the computer-supported co-operative work (CSCW) requirements – sharing an information space to design an effective technical system. To solve the computation needs for the generation of photorealistic 3D animation, we employ PVM as the distributed computing technology. The proposed system is an interactive, distributed 3D animation system across the Internet with the emphasis on the collaboration among different participants.

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## AN OVERVIEW OF SYSTEM ARCHITECTURE

To generate a photorealistic image, we exploit a raytracing algorithm which is very computationally intensive. Our distributed animation system is a client–server architecture. In the client site, we use HTML incorporated with a Java applet to implement a front-end graphical user interface (GUI). With this arrangement, the WWW browser accepts a user's service request and then evokes a Java applet execution. This Java applet will send the environment's parameters to the Web server for further processing. The system architecture is illustrated as Figure 1. A user first accesses our service from any WWW browser. Then a Java bytecode encapsulating the front-end GUI is shipped to the client site. From the front-end GUI, the end-user can configure the PVM heterogeneous computing environment by adding or deleting computing hosts. The Java applet establishes a reliable socket connection with a remote PVM daemon and sends the system configuration to the PVM daemon. This PVM daemon will later execute a `pvm_addhosts` routine to form a virtual parallel machine. Similarly, we send the graphics rendering information to the parallel raytracer or send back the rendering result to the WWW browser through the same socket connection. The socket connection in the Web client uses a specified port to communicate with a server socket. We assemble the client socket and server socket into a communication agent. The characteristic of the communication agent is functional independence. Both sockets just send the signals or messages to the communication agent. Other agents, such as the interface agent, session control agent and token management agent, are explained in the next two Sections.

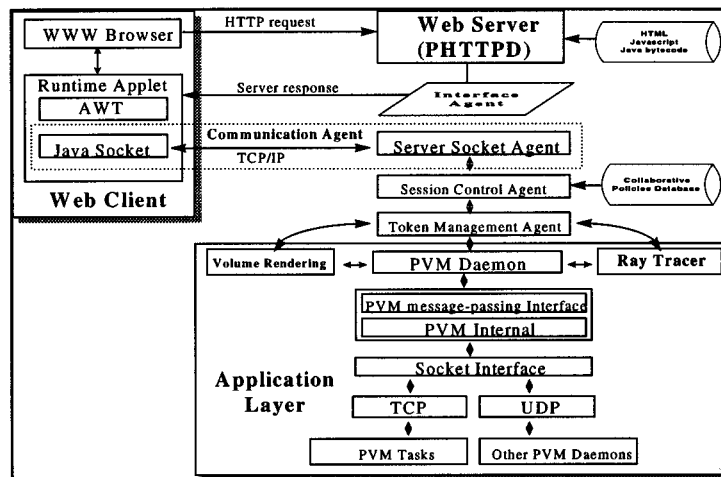


Figure 1. The high-level block architecture of the distributed 3D animation system

## COLLABORATION MODEL

Collaboration[4] means that a group of users work together on the same problem. In such a situation, awareness of individual and group activities is an important issue, especially for a distributed environment. Awareness is fundamental to co-ordination of activities and

sharing of information. Collaboration control mechanism regulates how multiple users assemble and interact over the shared data.

Table 1. Simplified collaborative group definition (SCGD)

| Item | Definition  |
|------|---|
| 1    | $\langle \text{Group} \rangle ::= \text{GROUP } \langle \text{Group-name} \rangle \langle \text{Topic} \rangle$<br>$\langle \text{State} \rangle \langle \text{Group-work-attributes} \rangle$<br>$\langle \text{Group-manager} \rangle$                                |
| 2    | $\langle \text{Group-name} \rangle ::= \text{ID}$   |
| 3    | $\langle \text{Topic} \rangle ::= \langle \text{Statement} \rangle$   |
| 4    | $\langle \text{State} \rangle ::= \text{"Private(Invite only)"   "Public"}$   |
| 5    | $\langle \text{Group-work-attributes} \rangle ::= \langle \text{Participant} \rangle  $<br>$\langle \text{Group-work-attributes} \rangle + \langle \text{participant} \rangle  $<br>$\langle \text{Group-work-attributes} \rangle - \langle \text{Participant} \rangle$ |
| 6    | $\langle \text{Group-manager} \rangle ::= \langle \text{Participant} \rangle$<br>$\langle \text{Manager-candidates} \rangle$  |
| 7    | $\langle \text{Manager-candidates} \rangle ::= \langle \text{Participant-name-list} \rangle$  |
| 8    | $\langle \text{Statement} \rangle ::= \text{CHAR}   \phi$   |
| 9    | $\langle \text{Participant} \rangle ::= \langle \text{Participant-name} \rangle$<br>$\langle \text{Participant-attributes} \rangle$   |
| 10   | $\langle \text{Participant-name} \rangle ::= \text{ID}$   |
| 11   | $\langle \text{Participant-name-list} \rangle ::=$<br>$\langle \text{Participant-name-list} \rangle, \langle \text{Participant-name} \rangle$   |
| 12   | $\langle \text{Participant-attributes} \rangle ::=$<br>$\langle \text{Specialty} \rangle \langle \text{Responsibility} \rangle \langle \text{Location} \rangle$   |
| 13   | $\langle \text{Specialty} \rangle ::= \langle \text{Statement} \rangle$   |
| 14   | $\langle \text{Responsibility} \rangle ::= \text{ITEM}$   |
| 15   | $\langle \text{Location} \rangle ::= \langle \text{Statement} \rangle$  |

### Session control

Users can login into a collaboration group by sending an HTTP request. He or she can either join an existing group or create a new group. A group of collaboration lasts until the group is terminated by the system or when nobody has a desire to be the leader. In this subsection, we propose a simplified collaborative group definition (SCGD)[4] that is a simplified description of the syntax, or form, of individual statements. Table 1 shows a set of language specifications, which is in the Backus–Naur form, for the SCGD. The syntax provides a description for an efficiently collaborative session in the system.

### Collaboration policies and mechanism

Session control encompasses the management of participation, authentication and presentation of co-ordinated user interfaces. The session manager provides a conduit for control. In the following we define collaboration policies and mechanisms through some operators operating on the set and its elements. A group  $G = \{x_i \mid x_i \in G, i = 1, 2, 3, \dots, n\}$  is defined as an ordered set with finite nodes, each node is a variable that has a state and information.

Collaboration policies are defined as a set of unary or binary operations on  $G_i$  and its

elements,  $i = 1, 2, 3, \dots, n$ . We list these operators as follows:

**Empty** ( $G_i$ ): When a group  $G$  is empty, it means that the group does not have a leader or any participant. The session control agent will terminate an empty group.

**Leader** ( $G_i, x$ ): It assigns  $x$  to be the group manager of the set.  $x$  will be placed in the first element in the ordered set.

**Participant** ( $G_i$ ): Participant ( $G_i$ ) =  $\{x_i \mid x_i \in G_i, i = 1, 2, 3, \dots, n\}$ . The operator of the element is to find out the participants in a group.

**Join\_permission** ( $G_i, x$ ) is a mapping  $G_i \times x \rightarrow \text{True or False}$ . When a new participant wants to join a group  $G$ , they must negotiate the group manager and wait for the response. If the mapping is true, then  $x$  is allowed to join the existing group  $G_i$ ; otherwise  $x$  is not allowed to join the group  $G_i$ .

**Create** ( $G_i, x$ ): A user can create a new working group in the collaborative system. The group information includes group name, topic, state, group work attributes and manager.

**Append** ( $G_i, x$ ) =  $G_i \cup \{x\}$ . When  $x$  is allowed to join the group  $G_i$ , it is appended to the last element.

**Participant\_information** ( $x_i$ ): The information of a participant includes the name, specialty, responsibility and location.

**Leave** ( $G_i, x$ ) =  $G_i - \{x_i\}$ . To leave a group, a user must inform the group manager. If the group manager wants to leave, the rule to choose a new group manager is described as follows:

1. The group manager should find a new group manager among other participants.
2. If there is a participant that wants to be the group manager, this group will be chaired by this new manager; otherwise, the group is terminated.

To keep track of the state of each participant is important to manage the participant in the collaborative model. We use the *finite state machine* concepts developed on sets and functions to maintain the state information of each participant. By using the concepts, the collaborative environment in server mode can simply be an input–output device. The system agent concept can be the medium to be transferred from input to output.

## SYSTEM AGENTS

An agent is the actual site of media interaction for a user[5]. It may actually implement media interaction functionality, or may use orthogonal abstract mechanisms to achieve the same effect. All agents are programmed in Java and executed as bytecode by Java virtual machines (JVM). These agents supporting teamwork at the same time in different places are within synchronous distributed interaction or real-time interaction. There are four classes of agents in the system – namely, interface agent, communication agent, session control agent and token management agent. The interface agent provides intuitive methods for session, interaction and co-ordination control, and supports media-rich communication. It accepts requests from different modalities and packs user's requests in a form that will be transmitted to a session control agent for further processing by a communication agent. The communication agent is responsible for communication among Web clients and Web servers. Communication agents can not only employ network protocols such as TCP/IP to

transmit messages among themselves, but they also retrieve data holdings in various native formats and dictate how the session control agent interprets and processes these messages.

The session control agent provides event-driven notification services by requesting collections according to user scripts. The session control is in charge of client registration, request submission, result delivery and delivering the locked tokens' status to the token management agent, etc. In addition, the session control agent is responsible for establishing and maintaining groups of users, and co-ordinating the activities of the various modules that are operating in the conference mode. It regulates how multiple users assemble and interact over shared data.

In the system, we use the term 'token' to include resources of all kinds such as a rendering database. A fixed number of tokens in a form of an object are used to communicate and share among the processes of the system. Each token can be held either by a group or by the token management agent which maintains the token pool. A situation like this, where several participants from the same group access and manipulate the same data (token) concurrently, and the outcome of the execution, depends on the participant order in which the access takes place. To guard against the situation above, we use some control mechanism to ensure that only one participant at a time can manipulate the data. In our implementation, a manager is selected among the members of the same group.

## PARALLEL RENDERING ALGORITHM

The efficiency of the parallel computing is critical for the computationally intensive applications, such as raytracing, radiosity, etc. In this Section, we first discuss the global distributed control (GDC) algorithm, which has the ability to provide a dynamic ability to adjust load imbalances and to provide fault tolerance.

In the past, we have exploited GDC to balance raytracing on the multicomputer system. The GDC method is a kind of decentralized parallel computation environment. Applying the GDC method to the distributed computing environment is in [6,7], where detailed results are available. Here, we present some new experimental results of the fault tolerance ability in our system.

### Fault tolerance

Because a ring's construction is so weak that any lost message may incur a deadlock, it is important to incorporate fault tolerance into the GDC's environment. The process of fault tolerance shown in Figure 2 is described as follows:

1. When a processor  $P_i$  finishes its job and is idle, then  $P_i$  will visit  $P_{i+1}$  to ask for a new scanline to render. There are two cases to consider. One is when  $P_{i+1}$  is so busy that it cannot response to the request of  $P_i$ . The other is when  $P_{i+1}$  is crashed.
2. To distinguish whether the processor  $P_{i+1}$  is crashed or busy, we must set the timeout. If  $P_{i+1}$  does not respond to the request more than three times, we can regard that  $P_{i+1}$  as crashed.
3. If  $P_{i+1}$  is crashed,  $P_i$  will send a message to the master and ask it to check the global scanline table. Then the master will notify  $P_i$  to take over the incomplete tasks that were owned by the process  $P_{i+1}$ . The information includes how many scanlines  $P_{i+1}$  has not finished and the processor identifier of  $P_{i+1}$ 's next processor. At present,  $P_i$

can replace  $P_{i+1}$  to connect with the  $P_{i+1}$ 's next processor, so it looks like a satellite to protect the planet when the planet cannot normally operate.

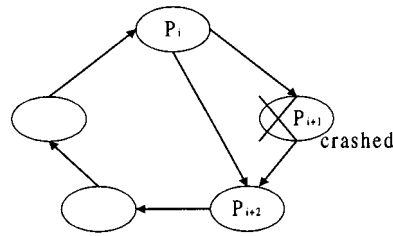


Figure 2. The fault tolerance mechanism of GDC

Table 2. The performance of fault tolerance after deleting two Sparc 20 s from PVM's console

|                       | Sparc 2         | Sparc 10     | Sparc 20        | Total relative power | Performance |
|-----------------------|-----------------|--------------|-----------------|----------------------|-------------|
| No of workstations    | 3               | 2            | 4               | —                    | —           |
| Relative power        | 0.77            | 1            | 1.96            | —                    | —           |
| Before deleting hosts | $3 \times 0.77$ | $2 \times 1$ | $4 \times 1.96$ | 12.15                | 14 s        |
| After deleting hosts  | $3 \times 0.77$ | $2 \times 1$ | $2 \times 1.96$ | 8.23                 | 19 s        |
| Degradation           | 0               | 0            | 3.92            | 3.93                 | 42.85 %     |

For this fault tolerance scheme, the crashed process can be replaced successfully by the preceding processor without paying too much overhead. The other processors can continue their jobs without spending the extra time to modify their environment's parameters. To test fault tolerance ability, we conducted an experiment performing raytracing with nine workstations using PVM. After the master process gathered 300 scanlines from the slave processes, two workstations (i.e. two Sparc 20s) were deleted from the PVM console. In the master process, we adopted the blocking receiving with timeout. So when some given hosts are deleted, the master process can still receive the rendering result from other slave processes. The ball image as shown in Figure 4 is used as the testing scene. The results in Table 2 show that the rendering process is still running after two workstations are deleted, and thus the fault tolerance ability is demonstrated.

## SYSTEM DESCRIPTION

The system consists of four parts: namely, the collaborative environment, the PVM console, the rendering console and the display console. We briefly describe each part in some details.

The interface of the collaborative environment as shown in Figure 3 provides a login circumstance for users to create a new group or join an existing group. When a user participates in a group, the interface agent will display the group information in the applet (GUI front-end). In addition, users can use the 'talk' or 'white board' media to communicate with other participants in this group. We adapt both the broadcasting and single-user mes-

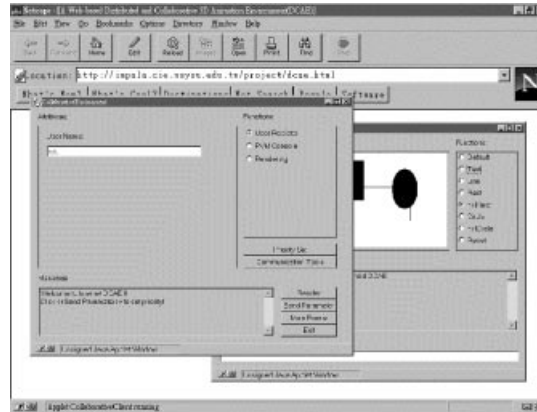


Figure 3. The user interface of collaborative environment

sage passing methods to talk with other users. Two types of communication are provided, namely, private and public modes.

The PVM console allows users to add or delete a set of hosts. The user can configure his/her virtual machine interactively according to their computing requirement. After configuring their parallel virtual machine, the user can proceed to the 'rendering' console for raytracing images. The rendering console provides options to dynamically control the process of raytracing, Filename, Camera position, Light source, Background, Rotation, Zoom, Render, Record and Help.

Users can display a static rendered image in the browser or an animation sequence in an internal viewer. The interface agent will pop a window as an internal viewer to display the contiguous images. With regard to the image compression format, a static rendered image is compressed in a JPEG format. Due to the limitation of the network bandwidth and the time-consuming computation in raytracing, after finishing a rendered image, the

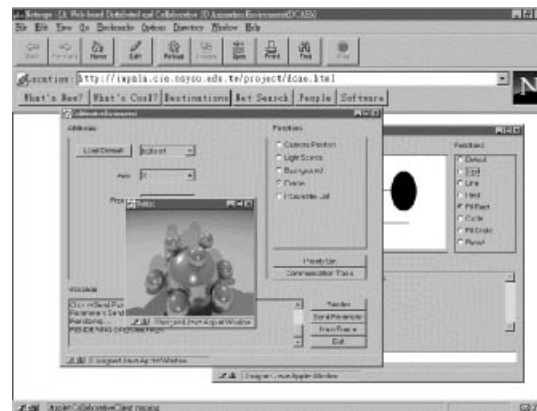


Figure 4. The animation display screen

token agent will send a signal to command the interface agent to display this rendered image. So the user can view the animation sequences frame by frame before finishing the rendering. After finishing all frames' rendering, the MPEG format is adapted to compress the animation sequences, and the interface agent will display this MPEG file in the internal viewer. A sample of the display is shown in Figure 4.

## CONCLUSIONS

In this paper, we have described a distributed and collaborative system using the Web browser, PVM, agents, Java and Java socket classes. It provides a collaborative and distributed computing platform for users to design, discuss, compute and visualize the 3D animation over the Web. We have proposed a simplified collaborative group definition, collaborative policies and the state of participants to dynamically manage participants for the collaborative model. Based on the proposed mechanisms, the server can efficiently determine the status of collaboration activities.

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